

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of  
Kurt KEMPER, et al.

Serial No. 09/787,819

Filed: March 23, 2001

Group Art Unit: 3722

Examiner: Not yet assigned

For: METHOD AND DEVICE FOR THE SURFACE TREATMENT OF WORKPIECES AND  
THEIR USE

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Assistant Commissioner for Patents  
Washington, D. C. 20231

RECEIVED  
OCT 23 2001  
TC 3100 MAIL ROOM

Sir:

Applicants respectfully request correction of the Official Filing Receipt received in the above-identified application in view of the following errors:

Filing Date: [05/23/2001] 03/23/2001, as shown on the attached copy of the Notification of Acceptance of Application, and as shown in red on the attached copy of the Official Filing Receipt.

Foreign Applications: Germany 198 43 630.0 09/23/1998

Germany 198 57 626.9 12/14/1998

as shown in the attached copy of the Declaration filed with the application, and as shown in red on the attached copy of the Official Filing Receipt. Additionally, please correct the Attorney Docket No. to read as 112791.1100, also shown in red on the Official Filing Receipt. Correction of these errors is respectfully requested.

Respectfully submitted,

PEPPER HAMILTON LLP

*Jean C. Edwards*

Jean C. Edwards  
Registration No. 41,728

600 Fourteenth Street, N.W.  
Washington, D.C. 20005-2004

Telephone: (202) 220-1261

Facsimile: (202) 220-1665

**Date: August 2, 2001**

DC: #193993 v1 (45\_P01!.DOC)



## UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT  
United States Patent and Trademark Office  
Washington, D.C. 20231  
www.uspto.gov

U.S. APPLICATION NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/787819	KEMPER	K
INTERNATIONAL APPLICATION NO.		

PEPPER HAMILTON  
600 FOURTEENTH STREET N.W.  
WASHINGTON, DC 20005 2004

PCT/EP99/07107

I.A. FILING DATE	PRIORITY DATE
23 SEP 99	23 SEP 98

DATE MAILED:

12 JUN 2001

NOTIFICATION OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C. 371  
AND 37 CFR 1.494 OR 1.495

1. The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as ☐ a Designated Office (37 CFR 1.494), ☒ an Elected Office (37 CFR 1.495), has determined that the above-identified international application has met the requirements of 35 U.S.C. 371, and is **ACCEPTED** for national patentability examination in the United States Patent and Trademark Office.

2. The United States Application Number assigned to the application is shown above and the relevant dates are:

23 March 2001	23 March 2001
DATE OF RECEIPT OF	DATE OF RECEIPT OF ALL
35 U.S.C. 371(c)(1), (c)(2) and (c)(4) REQUIREMENTS	35 U.S.C. 371 REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. **THE DATE APPEARING ON THE FILING RECEIPT AS THE "FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE.** The filing date of the above-identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

3. ☐ A request for immediate examination under 35 U.S.C. 371(f) was received on \_\_\_\_\_ and the application will be examined in turn.

4. The following items have been received:

- ☒ U.S. Basic National Fee.
- ☒ Copy of the international application.
- ☐ Translation of the international application into English.
- ☒ Oath or Declaration of inventors(s).
- ☐ Copy of Article 19 amendments. ☐ Translation of Article 19 amendments into English.  
The Article 19 amendments ☐ have ☐ not been entered.
- ☒ The International Preliminary Examination Report in English and its Annexes, if any.
- ☐ Copy of the Annexes to the International Preliminary Examination Report (IPER).  
☐ Translation of Annexes to the IPER into English.  
The Annexes ☐ have ☐ not been entered.
- ☒ Preliminary amendment(s) filed 23 March 2001 and \_\_\_\_\_.
- ☒ Information Disclosure Statement(s) filed 23 March 2001 and \_\_\_\_\_.
- ☐ Assignment document.
- ☒ Power of Attorney and/or Change of Address.
- ☐ Substitute specification filed \_\_\_\_\_.
- ☐ Indication of Small Entity Status.
- ☒ Priority Document.
- ☒ Copy of the International Search Report ☒ and copies of the references cited therein.
- ☐ Other:

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5).

Deborah Williams

Telephone: 703-305-3744

FORM PCT/DO/EO/903 (March 2001)

RECEIVED  
OCT 23 2001  
1C 3700 MAIL ROOM



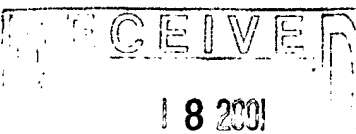
AUG 02 2001

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/787,819✓	05/23/2001✓ 03/23/2001✓	3722✓	913✓	112791.1100✓ 1100	14✓	62✓	4✓

21269  
PEPPER HAMILTON  
600 FOURTEENTH STREET NW  
WASHINGTON, DC 20005



CONFIRMATION NO. 8216

## FILING RECEIPT



\*OC00000006185033\*

HAMILTON

Date Mailed: 06/14/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Kurt Kemper, Muhlheim/Ruhr, GERMANY; ✓  
Gunter Otto, Ostheim v.d., GERMANY; ✓

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/EP99/07107 09/23/1999✓

## Foreign Applications

GERMANY 198 43 09/23/1998✓ Germany 198 43 630.0 09/23/1998  
GERMANY 198 57 12/14/1998✓ Germany 198 57 626.9 12/14/1998

Projected Publication Date: N/A

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\* ✓

## Title

Method and device for the surface treatment of workpieces and their use ✓

Preliminary Class

029

RECEIVED  
OCT 23 2001  
103700 MAIL ROOM

---

Data entry by : ORDENEZ, MARTA

Team : OIPE

Date: 06/14/2001

[REDACTED]

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Cod , Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231